

DMS

GOVERNANCE. RISK. COMPLIANCE.

FUND GOVERNANCE
TRANSPARENCY REPORTSM

XYZ LIMITED
1/1/2016 - 7/28/2016



Legend To DMS Fund Governance Transparency Report

ABRIDGED

Detailed commercially sensitive information has been excluded from the report.

DEF -DOCUMENT EXECUTION FORM

A standard internal DMS document used for informational and tracking purposes for every document executed by the directors. DEFs are reviewed under the DMS tri-level review process under which the director is supported by associate directors and associates in the administration and review of documentation. In this way information pertaining to the document in question, the items considered and questions asked by the DMS team are recorded and held on our internal records for reference.

DOCUMENT

A written, printed or electronic record that contains information relating to the affairs of the fund. Executed documents include a DEF.

EMAIL

An electronic message between directors, associate directors or associates and other parties relating to the affairs of the fund.

PHONE

A record of a telephone discussion between directors, associate directors or associate and other parties relating to the affairs of the fund.

DMS Fund Governance goes beyond simply providing a single director to the fund. Built on the belief that fund governance is a continuum - a system of checks and balances - each DMS director is assisted by a specialist team of associate directors and associates using forensic techniques and common sense judgment to audit the fund's governance actions in ways that are additive to existing operational risk controls.

Type	Date Created	Description	Reviewed By
Email	7/5/2016	Re:URGENT - o/s directorship fees - XYZ Long-Short Fund	
Document	6/16/2016	test	
Document	6/16/2016	extra	
DEF	5/20/2016	Test DEF	Reviewed By Associate
DEF	5/20/2016	Test DEF	Reviewed By Associate Director
DEF	5/20/2016	Test DEF	Reviewed By Director(s)
Email	4/29/2016	Re: XYZ Long-Short Fund - FINAL FUND DOX - 28.4.16	
DEF	3/30/2016	Investment Manager Agreement_counterparty_date	Reviewed By Associate
DEF	3/30/2016	Investment Manager Agreement_counterparty_date	Reviewed By Associate Director
DEF	3/30/2016	Investment Manager Agreement_counterparty_date	Reviewed By Director(s)
DEF	3/30/2016	Audit Confirmation letter_DMS OIS Ltd_FYE31Dec15	Reviewed By Associate
DEF	3/30/2016	Audit Confirmation letter_DMS OIS Ltd_FYE31Dec15	Reviewed By Associate Director
DEF	3/30/2016	Audit Confirmation letter_DMS OIS Ltd_FYE31Dec15	Reviewed By Director(s)
Document	3/30/2016	Investment Manager Agreement_counterparty_date	
Document	3/30/2016	Audit Confirmation letter_DMS OIS Ltd_FYE31Dec15	
Email	3/30/2016	DEF Email	
Email	3/30/2016	DEF 1	
Email	3/30/2016	DEF 3 email	
Email	3/30/2016	DEF 2	
Email	3/29/2016	RE: XY Capital Account opening - DBS	

END OF REPORT

For more information about the FUND GOVERNANCE TRANSPARENCY REPORT please contact us at info@dmsgovernance.com